PTO/SB/21 (09-04) Approved for use through 07/31/2006, OMB 0661-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless displays a valid OMB control number Application Number 10/774,682 Filing Date TRANSMITTAL 02/09/2004 First Named Inventor **FORM** Rueckes et al. Art Unit 2811 Examiner Name **TBA** (to be used for all correspondence after initial filing) Attorney Docket Number 112020.129US2 NAN-6 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): Request for Refund - Postcard **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) 1. PTO Form 1449 (1 pg.) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Wilmer Cutler Pickering Hale and Dorr LLP Signature Printed name Peter M. Dichiara Date Reg. No. 38,005 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: RUECKES, et al.

Application No.: 10/774,682 Group Art Unit: 2811

Filed: February 9, 2004 Examiner: To Be Assigned

For Nanotube Films and Articles Attorney Docket No.: 112020.129US2 NAN-6

## CERTIFICATE OF MAILING (37 C.F.R. § 1.8 a)

I hereby certify that this correspondence is being deposited with the United States Postal Service under 37 CFR 1.8a and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February \_\_\_\_\_\_, 2005.

By: Tina M. Dougal

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants and their legal representatives hereby make of record on the attached Form PTO-1449 the following publications which are known to them and considered warranting disclosure under 37 C.F.R. §1.56 and 1.97-98.

Copies of the cited U.S. Patents are not enclosed, but can be provided upon the Examiner's request. It is respectfully requested that the Examiner initial and return a copy of the subject Form PTO-1449 with the next Patent Office communication.

The submission of these publications does not constitute a representation by the Applicants that a search has been made or that no better art exists and does not constitute an admission that the listed publications are material or constitute "prior art." Applicants reserve

Application No. 10/774,682 Rueckes, et al. Page 2

the right to take appropriate action to establish the patentability of the disclosed invention over the listed publications, should one or more of the publications be applied against the claims of the present application.

As this paper is being filed prior to the issuance of a first Office Action on the merits, and pursuant to 37 C.F.R. § 1.97(b)(3), no fee is believed to be due. In the event a fee is due, the Commissioner is authorized to charge any fee deficiency or credit any overpayment to Deposit Account No. <u>08-0219</u>.

Respectfully submitted,

Dated: February \_\_\_\_\_, 2005

Peter M. Dichiara Registration No. 38,005 Attorney for Applicants

Wilmer Cutler Pickering Hale and Dorr LLP 60 State Street Boston, Massachusetts 02109

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Substitute for form 1449/PTO	Complete if Known		
SIPE	Application Number	10/774,682	
O N INFORMATION DISCLOSURE	Filing Date	February 9, 2004	
STATEMENT BY APPLICANT	First Named Inventor	Rueckes et al.	
MAR 0 2 2005 (Use as many sheets as necessary)	Art Unit	2811	
<b>7</b> .	Examiner Name	TBA	
Sheet 1 of 1	Attorney Docket Number	112020.129US2 NAN-6	
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U. S. PATENT DOCUMENTS					
Examiner		Document Number	Publication Date	Publication Date MM-DD-YYYY  Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Initials*	No.¹	Number-Kind Code <sup>2((1 known)</sup>	MM-DD-YYYY		
		US-6,495,258	12/17/2002	Chen et al.	
		US-6,443,901	09/03/2002	Fraser, J.D.	
		US-6,361,861	03/26/2002	Gao et al.	
		US-6,322,713	11/27/2001	Choi et al.	
		US-6,031,711	02/29/2000	Tennent et al.	
		US-5,346,683	09/13/1994	Green et al.	
		US-			

ſ	FOREIGN PATENT DOCUMENTS					
	Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant	Pages, Columns, Lines,
	Initials*	No.¹	Number-Kind Code <sup>2(# known)</sup>	MM-DD-YYYY	of Cited Document	Where Relevant Passages or Relevant Figures Appear
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NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	

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